

**EXHIBIT A**

**STATEMENT OF CERTIFICATION**

This Statement of Certification must be signed by an individual authorized to legally bind your firm and it must be fully completed, meaning that you must check either "Yes" or "No" for each item below and, if you do not, then you must submit an explanation detailing the reason you cannot check "Yes" or "No." Failure to do so will constitute an incomplete response, and your RFP response will not be considered. The Fund reserves the right to inquire about your firm's response to this questionnaire and may require a revised signed version to be submitted to clarify a response. Further, if the Fund decides to retain your firm's services as an Investment Consultant, your firm will be required to enter into a written agreement incorporating your answers to this Statement of Certification and other statutory requirements as required by the Fund. Further, this Statement of Certification will be attached as an exhibit to such written agreement.

1. The Illinois Pension Code requires that the investment adviser be (i) registered as an investment adviser with the Securities and Exchange Commission (SEC) pursuant to the federal Investment Advisers Act of 1940 (15 U.S.C. 80b-1, et seq.) or (ii) a bank, as defined in the federal Investment Advisers Act of 1940 (15 U.S.C. 80b-1, et seq.). (40 ILCS 5/1-113.14 (b)). Confirm that Respondent is, and will remain, in compliance with this requirement.  YES  NO
2. The Illinois Pension Code requires that your Firm, and any other person or entity with investment decision-making authority with respect to the Fund's investment in your Firm, agree in writing to be a "fiduciary" to the Fund in accordance with the Illinois Pension Code, without limitation. Note that as a fiduciary, you, or your investment adviser, may not engage in certain "prohibited transactions" as set forth in Section 1-110 of the Illinois Pension Code. (40 ILCS 5/1-101.2, 40 ILCS 5/1-109, 40 ILCS 5/1-110, 40 ILCS 5/1-114 and 40 ILCS 5/1-115). Confirm that Respondent will comply with this requirement.  YES  NO
3. The Fund requires that investment advisers represent and warrant that all materials and presentations provided in connection with an RFP do not contain any untrue statement of a material fact, or omit to state a material fact necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading. Confirm that Respondent will provide such representation.  YES  NO
4. Confirm that Respondent is knowledgeable of and willing to comply with Articles 1, 1A and 5 of the Illinois Pension Code.  YES  NO
5. Confirm that Respondent has proven experience in providing investment consulting services to large corporate, public, and Taft-Hartley defined benefit pension plans, foundations and endowments.  YES  NO
6. Confirm that Respondent will comply with certain disclosure requirements mandated under the Illinois Pension Code and no less frequently than quarterly provide written reports to the Fund including a statement of all returns on investment reported as net returns after the payment of all fees, commissions and any other compensation.  YES  NO

**Policemen's Annuity and Benefit Fund of Chicago**  
**Request for Proposal ("RFP") for an *Investment Consultant***  
**Issued January 31, 2021**

7. Confirm that Respondent will adhere to the Illinois Freedom of Information Act (5 ILCS 140 *et seq.*), without limitation, with the understanding that as long as any requested information is not exempt from disclosure, the Fund will comply with requests for access to investment contracts, fee schedules, and any materials submitted to the Fund, including but not limited to your RFP response. Note that the Fund's practice is that it will not provide notice to, or require approval from, your Firm prior to responding to FOIA requests.  YES  NO
8. Confirm that Respondent will adhere to the Fund's Procurement Policy for Investment Advisers and Consultants (See [Investment Policy Statement](#)).  YES  NO
9. Confirm that Respondent, through available current references, has provided investment consulting services, inclusive of all traditional assets and alternative investments, and performance and risk measurement, consistent with those outlined in Section 5, for a minimum period of seven years, to a minimum of seven (7) U.S. public employee retirement systems, two or more of which have assets of at least \$5 billion.  YES  NO
10. Confirm that the Respondent has dedicated resources to assist Board in its efforts to increase the racial, ethnic, and gender diversity of its fiduciaries, including its external investment managers.  YES  NO

I declare, under penalty of perjury under the laws of the State of Illinois, that all the above statements are true and correct. I understand that any person who knowingly makes any false statement, or falsifies, or permits to be falsified, any record in an attempt to defraud the Fund is guilty of a Class 3 felony.

\_\_\_\_\_  
*[Print Firm Name]*

Name:

\_\_\_\_\_  
*[Print Name of Authorized Signatory]*

By:

\_\_\_\_\_  
*[Signature of Authorized Signatory]*

Title:

\_\_\_\_\_  
*[Print Title of Authorized Signatory]*

Date:

\_\_\_\_\_